

Employer Group Implementation Requirements: 2-9 Enrolled Employees

Thank you for choosing Delta Dental of Arizona. Please see the checklist below for the items required for implementation of your new group. All enrollment materials must be received by the group's effective date.

Employer Group Enrollment

Please complete the following documents:

- Employer Group Master Application: 2-9 Enrolled Employees** (Completed & signed)
- Prior Carrier Coverage** (If applicable)
Please provide a copy of the prior carrier's benefits and a copy of last billing statement.
- Participation Verification**
Please select one of the following options:
 - Enrollment Application/Change of Status Form or Coverage Waiver Form** (Completed & signed)
 - Quarterly State of Arizona Unemployment Tax and Wage or Payroll Report**
 - Enrollment Spreadsheet**
Spreadsheet must match Delta Dental of Arizona's standard format.
- Assumption of Electronic Responsibility** (Completed & signed)

Billing

Please complete the following document:

- ACH Form** (Completed & signed): ACH is required for dental and vision. Invoices are emailed and include premiums for both dental and vision, if applicable. The first month's premium check is not required, but may be provided. If writing a check for initial premium(s), please make the first month's premium check payable to Delta Dental of Arizona and note the premium amounts for dental and vision separately.

Employee Enrollment

- Employee Enrollment Application/Change of Status Form or Coverage Waiver Form** (Completed & signed)
Employees enrolling in coverage must complete Sections A, B, C, E. Employee and Employer must sign Section E. Employer must also complete Section F.
Employees declining coverage should complete sections A, B, D, E. Employee and Employer must sign Section E. Employer must complete Section F.
(Please note that all future enrollments and eligibility updates will need to be submitted through the Employer Connection.)
- Enrollment Spreadsheet**
Spreadsheet must match Delta Dental of Arizona's standard format.
(Please note that all future enrollments and eligibility updates will need to be submitted through the Employer Connection.)

Employer Connection Access

The Employer Connection is a secure, online portal for group administration and billing. Our Group Services team will create an account for you. Please keep an eye on your email for login information, including your username and password.

Please feel free to contact us with any questions.

Jaquel Jones	Direct	602.588.3637
Implementation	Toll-Free	800.352.6132 ext. 3637
Consultant	Fax	602.588.3637
	Email	jjones@deltadentalaz.com

Group Master Application: 2-9 Enrolled Employees

SECTION A: General Information				
Company Name				
Address				
City	State	Zip	Email	
Phone		Fax		
Eligibility Contact Name		Eligibility Contact Email		Eligibility Contact Phone
Billing Contact Name		Billing Contact Email		Billing Contact Phone
Type of Industry				SIC Code

SECTION B: Dental Employer Contributions and Participation		
<input type="checkbox"/> Employee only	Total number of eligible employees: _____	Effective Date: ____/____/____ (MM/DD/YYYY)
<input type="checkbox"/> Employee and dependents	Total number enrolling: _____	
CONTRIBUTIONS		
For Employee: _____%	For Dependents: _____%	Is enrollment tied to a medical plan? <input type="checkbox"/> Yes <input type="checkbox"/> No

SECTION C: Vision Employer Contributions and Participation		
<input type="checkbox"/> Employee only	Total number of eligible employees: _____	Effective Date: ____/____/____ (MM/DD/YYYY)
<input type="checkbox"/> Employee and dependents	Total number enrolling: _____	
CONTRIBUTIONS		
For Employee: _____%	For Dependents: _____%	

SECTION D: Eligibility	
Dependent child(ren) to age: 26	Students status up to age: 26
Domestic partner coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION E: Current Dental Plan Information (Please attach a copy of the most recent billing statement and benefit summary.)	
Does your company currently have a dental plan? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, what type of plan is it? <input type="checkbox"/> Indemnity <input type="checkbox"/> PPO <input type="checkbox"/> Pre-paid	Effective Date: ____/____/____ (MM/DD/YYYY)
Name of Carrier(s)	Reason for Change

FORM CONTINUES TO NEXT PAGE.

SECTION F: Dental Plan Selection (Selections must match dental quote. Please attach original quote for processing.)

CO-INSURANCE (Enter percentage)

Select your plan	<input type="checkbox"/> Option 1: MAC PPO
<input type="checkbox"/> Option 2 Lite: MAC PPO	<input type="checkbox"/> Option 2: MAC PPO
<input type="checkbox"/> Option 3 Lite: PPO Plus Premier	<input type="checkbox"/> Option 3: PPO Plus Premier
<input type="checkbox"/> Option 4 Lite: MAC PPO	<input type="checkbox"/> Option 4: MAC PPO
<input type="checkbox"/> Option 5 Lite: PPO Plus Premier	<input type="checkbox"/> Option 5: PPO Plus Premier
<input type="checkbox"/> Option 8 Lite: MAC PPO	<input type="checkbox"/> Option 8: MAC PPO
<input type="checkbox"/> Option 9 Lite: PPO Plus Premier	<input type="checkbox"/> Option 9: PPO Plus Premier

Routine Services	%
Basic Services	%
Major Services	%
Orthodontics	%

ADDITIONAL PLAN FEATURES (Check all that apply)

<input type="checkbox"/> CheckUp Plus™
<input type="checkbox"/> Composite Fillings
<input type="checkbox"/> Orthodontics (Child age 8-19)

Calendar Year Deductible:	Benefit Waiting Periods:	Benefit Maximums:
\$50 per person	Major: 0 months	Calendar Year \$ _____
\$150 per family	Orthodontics: 6 months if no prior coverage	Orthodontics Lifetime \$ _____

Quoted Rates: Two-tier Three-tier Four-tier

Employee only	\$ _____
Employee + spouse (employee + one dependent)	\$ _____
Employee + children (employee + two dependents)	\$ _____
Employee + family	\$ _____

SECTION G: Current Vision Plan Information (Please attach a copy of the most recent billing statement and benefit summary.)

Does your company currently have a vision plan? Yes No

Name of Carrier(s)	Reason for Change
--------------------	-------------------

SECTION H: Vision Plan Selection (Rate tier for vision must be the same rate tier as dental.)

Plan Name: _____

Quoted Rates: Two-tier Three-tier Four-tier

Employee only	\$ _____
Employee + spouse (employee + one dependent)	\$ _____
Employee + children (employee + two dependents)	\$ _____
Employee + family	\$ _____

This field is for Delta Dental of Arizona only:
Plan Number: _____

FORM CONTINUES TO NEXT PAGE.

SECTION I: Agent/General Agent of Record & Broker Administrative Rights (If any)			
Agent Name			
Agency Name			
Address			
City	State	Zip	Email
Phone		Fax	
Electronic Data Access			
Agent shall have electronic data access via Delta Dental's secure portal. By granting access to Agent, Group is allowing the Agent to potentially make enrollment changes on its behalf. If Agent is granted access, it is the Group's responsibility to notify Delta Dental of Arizona to remove online access.			
<input type="checkbox"/> Accept <input type="checkbox"/> Decline			
_____		_____	
Name of Agent Receiving Portal Access		Email Address of Agent Receiving Portal Access	
_____		_____	_____
Agent Signature		AZ Insurance Agent License ID	Broker Number
General Agent Name			
General Agency Name			
Electronic Data Access			
General Agent shall have electronic data access via Delta Dental's secure portal. By granting access to General Agent, Group is allowing the General Agent to potentially make enrollment changes on its behalf. If General Agent is granted access, it is the Group's responsibility to notify Delta Dental of Arizona to remove online access.			
<input type="checkbox"/> Accept <input type="checkbox"/> Decline			
_____		_____	
Name of General Agent Receiving Portal Access		Email Address of General Agent Receiving Portal Access	
_____		_____	_____
General Agent Signature		AZ Insurance Agent License ID	Broker Number

SECTION J: Employer Group Authorization to Share Protected Health Information	
By signing below, I hereby authorize Delta Dental of Arizona to share, exchange, transmit and receive the Group's member Protected Health Information (PHI) with the following file vendor, agent/broker, and/or third party.	
File Vendor Name	
Agent/Broker Name	Other Third Party Name

Signature	_____/_____/_____ Date Signed (MM/DD/YYYY)

SECTION K: Employer Group Policyholder Acknowledgement	
I attest that the above information is correct and agree to provide additional information upon request. The Policy applied for hereby shall be effective upon underwriting approval and the issuance of a group number. The Policyholder and Delta Dental of Arizona (DDAZ) will be legally bound to the provisions of the Policy with the options and alternatives set forth in this Master Application. Any misrepresentation or omission of requested data will cause the Policy, if issued, to be null and void.	
Employer Group Name (Please print)	

Signature	_____/_____/_____ Date Signed (MM/DD/YYYY)
Signer's Name (Please print)	Signer's Title (Please print)
_____	_____
Email (For future communications regarding this application)	

Assumption of Responsibility for Electronic Data

This form is required if the group is requesting access to the Employer Connection, Delta Dental of Arizona's secure, online portal for group administration and billing.

Group Information	
Group Name	
Submitted by (Contact Name)	Contact Email Address

Please choose your preferred method for receiving billing statements (select one)	
<input type="checkbox"/> Paper Notification (Only available to groups with 10+ enrolled)	
<input type="checkbox"/> Email Notification (Required for groups with 2-9 enrolled; Optional for groups with 10+ enrolled)	Email Address to Receive Billing Statement
<input type="checkbox"/> Fax Notification (Only available to groups with 10+ enrolled)	Fax Number

Authorized Signature
<p>Delta Dental of Arizona (DDAZ) groups may submit electronic eligibility files instead of paper enrollment forms. Groups may also view billing records online.</p> <p>By signing below, I warrant to DDAZ, that the group indicated above (the Group) is responsible for this data entry. I also certify that I am an authorized representative of the Group and that I have the authority to make eligibility changes. If Group granted online access to an Agent/General Agent of Record on the Employer Group Master Application, I acknowledge that the Agent of Record has the authority to make eligibility changes. I agree that any errors contained in the eligibility files are the responsibility of the Group. Common errors include spelling errors, which may translate into billing adjustments. I understand that there may be an additional cost associated with the changes submitted, even if the change was in error or unintentional. I agree on behalf of the Group to pay for any additional costs associated with my changes. I also agree that the Group is responsible for notifying DDAZ to remove online access for any authorized user, including the Agent/General Agent of Record.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p>_____</p> <p>Written Signature of Person Submitting</p> </div> <div style="width: 45%;"> <p>_____/_____/_____/_____/_____</p> <p>Date Signed (MM/DD/YYYY)</p> </div> </div> <div style="margin-top: 20px;"> <p>_____</p> <p>Printed Name of Person Submitting</p> </div>

Electronic Funds Transfer (EFT) Authorization: Group Dental/Vision Plans

EFT AUTHORIZATION AGREEMENT FOR PREMIUM PAYMENTS

I (we) hereby authorize Delta Dental of Arizona to initiate debit (withdrawal) entries and to initiate, if necessary, credit entries and adjustments for any debit (withdrawal) entries in error to my account and the financial institution indicated below:

Group Information	
Group Name	
Federal Tax ID Number	Group Number
Group Contact Name	Group Contact Phone
Email of Contact to Receive EFT Statement	

Bank Information	
Name of Financial Institution	Account Name (if applicable)
Contact Person (if applicable)	Contact Phone
Bank Routing Number	
Account Number	<input type="checkbox"/> Savings <input type="checkbox"/> Checking

Delta Dental of Arizona will keep all financial information secure and confidential

Authorization	
Name	Name
<hr/> Authorized Signature / / Date	<hr/> Authorized Signature / / Date

This authorization is to remain in full force and effect until Delta Dental of Arizona, Inc. and said financial institution have received written notification from me of its termination in such time and in such manner to afford Delta Dental of Arizona and said financial institution a reasonable opportunity to act upon it.

I understand that any EFT transactions that are dishonored by my financial institution intended for payment to Delta Dental of Arizona may be assessed a \$25 service charge.

Submission

Please email, fax, and or mail the completed application and EFT authorization to:

Delta Dental of Arizona
PO Box 43000
Phoenix, AZ 85080-3000
Email: billing@deltadentalaz.com
Fax: 602.548.5071



SECTION F: Employer Use Only

Employer Name: _____ Group Number: _____
Effective 1st Day Of: ____/____/____ (MM/YYYY) Sub-location: _____

Enrollment Application/Change of Status Form

Instructions on reverse side.

SECTION A: Qualifying Event

NEW HIRE (Complete sections B, C, D, E)
OPEN ENROLLMENT (Complete sections B, C, D, E)
Dental Plan: Premier, PPO plus Premier, PPO, enhanced Premier, Vision
Option: High/Buy-up, Low/Base

CHANGE OF STATUS (Complete sections B, C, D, E)
Dental Vision
Cancel Coverage (Complete section B, E) COBRA (Complete sections B, C, D, E)
Address Change (Complete section B, E)
Name Change To: _____ From: _____
Add/Delete Dependent(s) (Complete sections B, C, E)
Marriage Birth Retire
Divorce Adoption Loss of Coverage Other - Reason: _____

DECLINE COVERAGE (Complete sections B, D, E)
Dental Vision

SECTION B: Employee Information

Social Security Number/EIN Employer Name
Employee's Last Name First MI
Home Address (Mailing)
City State Zip Email
Marital Status Single Married
Gender M F
Date of Birth ____/____/____ (MM/DD/YYYY)

SECTION C: Dependent Information

Table with 10 columns: Add, Change, Delete, Last Name (If different), First, MI, Dental, Vision, Relationship to Employee, Gender M/F, Date of Birth, Full-Time Student Y/N

SECTION D: Other Coverage Information

Do you or any member of your family have coverage under another group dental insurance plan? YES - Please check the appropriate box(es) and complete Section D NO - Please skip to Section E
Insurance Company Name Effective Date of Coverage
Name of Policyholder Policyholder's Date of Birth
Please indicate to whom this coverage applies (Check all that apply). Self Spouse All Children Child(ren)
Name of Dependent Relationship to Policyholder

SECTION E: Authorization

I hereby apply for coverage with Delta Dental of Arizona pursuant to the terms specified on the reverse side of this form, which are hereby incorporated by reference.

Employee's Signature/Authorization Date Signed (MM/DD/YYYY) Employer's Signature/Authorization Date Signed (MM/DD/YYYY)

DDAZ-0002-rev0916

I apply for benefits with Delta Dental of Arizona (Delta Dental), and on behalf of any dependents and myself, I agree to be bound by the provisions of my dental or vision plan (the Plan). If accepted, this application, the identification card and the group contract will constitute the Plan.

I understand and agree that my coverage and that of any dependents will become effective on the date established by my employer in Section F. Any dependents that are added to my Plan later will have different effective dates.

My employer or group administrator is authorized to deduct my share of dental premiums, if any, from my wages for 12 months and during any renewal periods. My employer or group administrator is authorized to remit a premium to Delta Dental and to receive all notices from Delta Dental relating to my coverage. I understand that enrollment is for consecutive 12-month period, and my contribution is subject to change on renewal. Further, I understand that non-compliance with these terms voids any benefits during an enrollment period.

I will notify Delta Dental upon any change that would make me or any dependent ineligible for coverage.

I will cooperate with Delta Dental and furnish all information requested to enforce Delta Dental's right to coordinate benefits.

I understand that Delta Dental may deny claims and void my coverage or may increase the premium charged to my employer if it finds that I misrepresented information on my application. If false or misleading information is discovered, Delta Dental may void my coverage without advance notice and refund my premium back to the effective date shown on this application or may adjust the group's premium retroactively to my effective date shown on this application. Any claims paid during the periods when the coverage was not in force will be deducted from the premium refund. If the benefits paid by Delta Dental exceeds the premium paid, I agree to refund any excess amount to Delta Dental.

Uses and Disclosures of Health Information: At Delta Dental, we use health information about you to confirm eligibility and benefits, to pay claims from your dentist, to coordinate benefits with other carriers, to administer the group dental contract and to perform quality assurance. For more information about our privacy practices, please visit www.deltadentalaz.com under privacy policy or contact Customer Service, Phone: 602.938.3131 or 800.352.6132, Email: customerservice@deltadentalaz.com.

Instructions

SECTION A - Determine the Qualifying Event

Please check or complete all boxes that indicate whether you are a new enrollee or you are requesting an update to your current coverage. If you are requesting a coverage update, select the appropriate qualifying event and indicate the date of the event.

New Hire/Open Enrollment: Select the dental plan offered by your employer. If vision is being offered and you would like to apply for coverage, please check the vision box. Please complete Sections B, C, D, and E.

Decline Coverage: If you would like to decline dental or vision coverage, please check the dental and/or vision option. Please complete sections B, D, and E.

Change of Status:

- **Cancel Coverage** - Check the Cancel Coverage box and complete sections B and E.
- **COBRA** - Check the COBRA box and complete sections B, C, D, and E.
- **Address Change** - Check the address change box and complete section B and E.
- **Add/Delete Dependent(s)** - Please indicate the qualifying event add the date of the event. Please complete sections B, C, and E.

SECTION B - Employee Information

Please complete this section in its entirety for all circumstances.

SECTION C - Dependent Information

Check either add, change or delete to select the appropriate dependent action. Complete dependent information and select the dental or vision option to apply for coverage or to make the selected updates.

SECTION D - Other Coverage Information

Complete this section if you or any of your dependents have additional dental coverage that will not be cancelled when this plan becomes effective.

SECTION E - Authorization

Once you have completed the appropriate sections and reviewed the terms above, please sign and date this form.
Employer: Sign and date this form before submitting to Delta Dental of Arizona.

SECTION F - Employer Use Only

Submit the signed form to your employer, who will complete section F.
Employer: Complete section F before submitting to Delta Dental of Arizona.